## Section 4.5-4.6 Dimension and and of vector spaces

$$
\text { \& } 4.6 \text { rank } \underset{\substack{\text { Gexin Mu } \\ \text { gyu@wm.edu }}}{ } \text { matrix }
$$

College of William and Mary

## Dimension of a vector space

- In the last section, we show that a vector space $V$ with a basis $B$ containing $n$ vectors is isomorphic to $R^{n}$

$$
V \rightarrow[v]_{\&} \in \mathbb{R}^{n}
$$

## Dimension of a vector space

- In the last section, we show that a vector space $V$ with a basis $B$ containing $n$ vectors is isomorphic to $\mathbf{R}^{n}$.
- We will show that the number $n$ actually only depends on the vector space (an invariant), and is independent of the choices of the bases.


## Dimension of a vector space

- In the last section, we show that a vector space $V$ with a basis $B$ containing $n$ vectors is isomorphic to $\mathbf{R}^{n}$.
- We will show that the number $n$ actually only depends on the vector space (an invariant), and is independent of the choices of the bases.

Thm9 If a vector space $V$ has a basis $B=\left\{b_{1}, b_{2}, \ldots, b_{n}\right\}$, then any set in $V$ containing more than $n$ vectors must be linearly dependent.
(ide, a basis (armet have more then $r$ vertus)

## Proof of Theorem 9

Pf: Let $\left\{u_{1}, \ldots, u_{p}\right\}$ be a set in $V$ with more than $n$ vectors. $p>n$

## Proof of Theorem 9

Pf: Let $\left\{u_{1}, \ldots, u_{p}\right\}$ be a set in $V$ with more than $n$ vectors.

- The coordinate vectors $\left[u_{1}\right]_{B}, \ldots,\left[u_{p}\right]_{B}$ form a linearly dependent set in $\mathbf{R}^{n}$, because there are more vectors ( $p$ ) than entries ( $n$ ) in each vector.


## Proof of Theorem 9

Pf: Let $\left\{u_{1}, \ldots, u_{p}\right\}$ be a set in $V$ with more than $n$ vectors.

- The coordinate vectors $\left[u_{1}\right]_{B}, \ldots,\left[u_{p}\right]_{B}$ form a linearly dependent set in $\mathbf{R}^{n}$, because there are more vectors ( $p$ ) than entries ( $n$ ) in each vector.
- So there exist scalars $c_{1}, c_{2}, \ldots, c_{p}$, not all zero, such that

$$
c_{1}\left[u_{1}\right]_{B}+c_{2}\left[u_{2}\right]_{B}+\ldots+c_{p}\left[u_{p}\right]_{B}=0
$$

## Proof of Theorem 9

Pf: Let $\left\{u_{1}, \ldots, u_{p}\right\}$ be a set in $V$ with more than $n$ vectors.

- The coordinate vectors $\left[u_{1}\right]_{B}, \ldots,\left[u_{p}\right]_{B}$ form a linearly dependent set in $\mathbf{R}^{n}$, because there are more vectors ( $p$ ) than entries ( $n$ ) in each vector.
- So there exist scalars $c_{1}, c_{2}, \ldots, c_{p}$, not all zero, such that

$$
c_{1}\left[u_{1}\right]_{B}+c_{2}\left[u_{2}\right]_{B}+\ldots+c_{p}\left[u_{p}\right]_{B}=0 \in \mathbb{R}^{r}
$$

- By linearity, we have

$$
\left[c_{1} u_{1}+c_{2} u_{2}+\ldots+c_{p} u_{p}\right]_{B}=0 \in \mathbb{P}
$$

## Proof of Theorem 9

Pf: Let $\left\{u_{1}, \ldots, u_{p}\right\}$ be a set in $V$ with more than $n$ vectors.

- The coordinate vectors $\left[u_{1}\right]_{B}, \ldots,\left[u_{p}\right]_{B}$ form a linearly dependent set in $\mathbf{R}^{n}$, because there are more vectors ( $p$ ) than entries ( $n$ ) in each vector.
- So there exist scalars $c_{1}, c_{2}, \ldots, c_{p}$, not all zero, such that

$$
c_{1}\left[u_{1}\right]_{B}+c_{2}\left[u_{2}\right]_{B}+\ldots+c_{p}\left[u_{p}\right]_{B}=0
$$

- By linearity, we have

$$
\left[c_{1} u_{1}+c_{2} u_{2}+\ldots+c_{p} u_{p}\right]_{B}=\underset{\sim}{0}
$$

- It means that

$$
c_{1} u_{1}+c_{2} u_{2}+\ldots+c_{p} u_{p}=0 \cdot b_{1}+0 \cdot b_{2}+\ldots+0 \cdot b_{n}=0
$$

## Proof of Theorem 9

Pf: Let $\left\{u_{1}, \ldots, u_{p}\right\}$ be a set in $V$ with more than $n$ vectors.

- The coordinate vectors $\left[u_{1}\right]_{B}, \ldots,\left[u_{p}\right]_{B}$ form a linearly dependent set in $\mathbf{R}^{n}$, because there are more vectors ( $p$ ) than entries ( $n$ ) in each vector.
- So there exist scalars $c_{1}, c_{2}, \ldots, c_{p}$, not all zero, such that

$$
c_{1}\left[u_{1}\right]_{B}+c_{2}\left[u_{2}\right]_{B}+\ldots+c_{p}\left[u_{p}\right]_{B}=0
$$

- By linearity, we have

$$
\left[c_{1} u_{1}+c_{2} u_{2}+\ldots+c_{p} u_{p}\right]_{B}=0
$$

- It means that

$$
c_{1} u_{1}+c_{2} u_{2}+\ldots+c_{p} u_{p}=0 \cdot b_{1}+0 \cdot b_{2}+\ldots+0 \cdot b_{n}=0
$$

- Since $c_{i}$ are not all zero, $\left\{u_{1}, \ldots, u_{p}\right\}$ is linearly dependent.
- Theorem 10: If a vector space $V$ has a basis of $n$ vectors, then every basis of $V$ must consist of exactly $n$ vectors.
$B_{1}-n$ vectors
$B_{2}-m$ vectors

$$
\left.\begin{array}{l}
m \leq n \\
n \leq m
\end{array}\right\} \Rightarrow m=n
$$

- Theorem 10: If a vector space $V$ has a basis of $n$ vectors, then every basis of $V$ must consist of exactly $n$ vectors.

Pf: Let $B_{1}$ be a basis of $n$ vectors and $B_{2}$ be any other basis (of $V$ ).

- Theorem 10: If a vector space $V$ has a basis of $n$ vectors, then every basis of $V$ must consist of exactly $n$ vectors.

Pf: Let $B_{1}$ be a basis of $n$ vectors and $B_{2}$ be any other basis (of $V$ ).

- Since $B_{1}$ is a basis and $B_{2}$ is linearly independent, $B_{2}$ has no more than $n$ vectors, by Theorem 9 .
- Theorem 10: If a vector space $V$ has a basis of $n$ vectors, then every basis of $V$ must consist of exactly $n$ vectors.

Pf: Let $B_{1}$ be a basis of $n$ vectors and $B_{2}$ be any other basis (of $V$ ).

- Since $B_{1}$ is a basis and $B_{2}$ is linearly independent, $B_{2}$ has no more than $n$ vectors, by Theorem 9 .
- Also, since $B_{2}$ is a basis and $B_{1}$ is linearly independent, $B_{2}$ has at least $n$ vectors.
- Theorem 10: If a vector space $V$ has a basis of $n$ vectors, then every basis of $V$ must consist of exactly $n$ vectors.

Pf: Let $B_{1}$ be a basis of $n$ vectors and $B_{2}$ be any other basis (of $V$ ).

- Since $B_{1}$ is a basis and $B_{2}$ is linearly independent, $B_{2}$ has no more than $n$ vectors, by Theorem 9 .
- Also, since $B_{2}$ is a basis and $B_{1}$ is linearly independent, $B_{2}$ has at least $n$ vectors.
- Thus $B_{2}$ consists of exactly $n$ vectors.


## Dimension

Defn: If $V$ is spanned by finite set, then $V$ is said to be finite-dimensional, and the dimension of $V$, written as $\operatorname{dim} V$, is the number of vectors in a basis for $V$.

## Dimension

Defn: If $V$ is spanned by a finite set, then $V$ is said to be finite-dimensional, and the dimension of $V$, written as $\operatorname{dim} V$, is the number of vectors in a basis for $V$.

- The dimension of the zero vector space $\{0\}$ is defined to be zero. If $V$ is not spanned by a finite set, then $V$ is said to be infinite-dimensional.


## Dimension

Defn: If $V$ is spanned by a finite set, then $V$ is said to be finite-dimensional, and the dimension of $V$, written as $\operatorname{dim} V$, is the number of vectors in a basis for $V$.

- The dimension of the zero vector space $\{0\}$ is defined to be zero. If $V$ is not spanned by a finite set, then $V$ is said to be infinite-dimensional.

Ex. $\operatorname{dim} \mathbf{R}^{n}=n$, as the standard basis consists of $n$ vectors.

## Dimension

Defn: If $V$ is spanned by a finite set, then $V$ is said to be finite-dimensional, and the dimension of $V$, written as $\operatorname{dim} V$, is the number of vectors in a basis for $V$.

- The dimension of the zero vector space $\{0\}$ is defined to be zero. If $V$ is not spanned by a finite set, then $V$ is said to be infinite-dimensional.

Ex. $\operatorname{dim} \mathbf{R}^{n}=n$, as the standard basis consists of $n$ vectors.
Ex. $\operatorname{dim} P_{3}=4$, as $\left\{1, t, t^{2}, t^{3}\right\}$ is a standard basis.

## Dimension

Defn: If $V$ is spanned by a finite set, then $V$ is said to be finite-dimensional, and the dimension of $V$, written as $\operatorname{dim} V$, is the number of vectors in a basis for $V$.

- The dimension of the zero vector space $\{0\}$ is defined to be zero. If $V$ is not spanned by a finite set, then $V$ is said to be infinite-dimensional.

Ex. $\operatorname{dim} \mathbf{R}^{n}=n$, as the standard basis consists of $n$ vectors.
Ex. $\operatorname{dim} P_{3}=4$, as $\left\{1, t, t^{2}, t^{3}\right\}$ is a standard basis.
Ex. the subspaces of $\mathbf{R}^{3}$ can be classified by its dimensions:

## Dimension

Defn: If $V$ is spanned by a finite set, then $V$ is said to be finite-dimensional, and the dimension of $V$, written as $\operatorname{dim} V$, is the number of vectors in a basis for $V$.

- The dimension of the zero vector space $\{0\}$ is defined to be zero. If $V$ is not spanned by a finite set, then $V$ is said to be infinite-dimensional.

Ex. $\operatorname{dim} \mathbf{R}^{n}=n$, as the standard basis consists of $n$ vectors.
Ex. $\operatorname{dim} P_{3}=4$, as $\left\{1, t, t^{2}, t^{3}\right\}$ is a standard basis.
Ex. the subspaces of $\mathbf{R}^{3}$ can be classified by its dimensions:

- 0-dimension: zero subspace


## Dimension

Defn: If $V$ is spanned by a finite set, then $V$ is said to be finite-dimensional, and the dimension of $V$, written as $\operatorname{dim} V$, is the number of vectors in a basis for $V$.

- The dimension of the zero vector space $\{0\}$ is defined to be zero. If $V$ is not spanned by a finite set, then $V$ is said to be infinite-dimensional.

Ex. $\operatorname{dim} \mathbf{R}^{n}=n$, as the standard basis consists of $n$ vectors.
Ex. $\operatorname{dim} P_{3}=4$, as $\left\{1, t, t^{2}, t^{3}\right\}$ is a standard basis.
Ex. the subspaces of $\mathbf{R}^{3}$ can be classified by its dimensions:

- 0-dimension: zero subspace
- 1-dimension: line passing through the origin


## Dimension

Defn: If $V$ is spanned by a finite set, then $V$ is said to be finite-dimensional, and the dimension of $V$, written as $\operatorname{dim} V$, is the number of vectors in a basis for $V$.

- The dimension of the zero vector space $\{0\}$ is defined to be zero. If $V$ is not spanned by a finite set, then $V$ is said to be infinite-dimensional.

Ex. $\operatorname{dim} \mathbf{R}^{n}=n$, as the standard basis consists of $n$ vectors.
Ex. $\operatorname{dim} P_{3}=4$, as $\left\{1, t, t^{2}, t^{3}\right\}$ is a standard basis.
Ex. the subspaces of $\mathbf{R}^{3}$ can be classified by its dimensions:

- 0-dimension: zero subspace
- 1-dimension: line passing through the origin
- 2-dimension: any plane passing the origin


## Dimension

Defn: If $V$ is spanned by a finite set, then $V$ is said to be finite-dimensional, and the dimension of $V$, written as $\operatorname{dim} V$, is the number of vectors in a basis for $V$.

- The dimension of the zero vector space $\{0\}$ is defined to be zero. If $V$ is not spanned by a finite set, then $V$ is said to be infinite-dimensional.

Ex. $\operatorname{dim} \mathbf{R}^{n}=n$, as the standard basis consists of $n$ vectors.
Ex. $\operatorname{dim} P_{3}=4$, as $\left\{1, t, t^{2}, t^{3}\right\}$ is a standard basis.
Ex. the subspaces of $\mathbf{R}^{3}$ can be classified by its dimensions:

- 0-dimension: zero subspace
- 1-dimension: line passing through the origin
- 2-dimension: any plane passing the origin
- 3-dimension: the $\mathbf{R}^{3}$.


## Example

Ex. Find the dimension of the subspace

$$
H=\left\{\left[\begin{array}{c}
a-3 b+6 c \\
5 a+4 d \\
b-2 c-d \\
5 d+1
\end{array}\right]: a, b, c, d \in \mathbf{R}\right\} .
$$

## Example

Ex. Find the dimension of the subspace

$$
H=\left\{\left[\begin{array}{c}
a-3 b+6 c \\
5 a+4 d \\
b-2 c-d \\
5 d
\end{array}\right]: a, b, c, d \in \mathbf{R}\right\}
$$

Sol: Each vector in $H$ can be written as a linear combination

$$
\left[\begin{array}{c}
a-3 b+6 c \\
5 a+4 d \\
b-2 c-d \\
5 d
\end{array}\right]=a\left[\begin{array}{l}
1 \\
5 \\
0 \\
0
\end{array}\right]+b\left[\begin{array}{c}
-3 \\
0 \\
1 \\
0
\end{array}\right]+c\left[\begin{array}{c}
6 \\
0 \\
-2 \\
0
\end{array}\right]+d\left[\begin{array}{c}
0 \\
4 \\
-1 \\
5
\end{array}\right]=a v_{1}+b v_{2}+c v_{3}+
$$

## Example

Ex. Find the dimension of the subspace

$$
H=\left\{\left[\begin{array}{c}
a-3 b+6 c \\
5 a+4 d \\
b-2 c-d \\
5 d
\end{array}\right]: a, b, c, d \in \mathbf{R}\right\}
$$

Sol: Each vector in $H$ can be written as a linear combination

$$
\left[\begin{array}{c}
a-3 b+6 c \\
5 a+4 d \\
b-2 c-d \\
5 d
\end{array}\right]=a\left[\begin{array}{l}
1 \\
5 \\
0 \\
0
\end{array}\right]+b\left[\begin{array}{c}
-3 \\
0 \\
1 \\
0
\end{array}\right]+c\left[\begin{array}{c}
6 \\
0 \\
-2 \\
0
\end{array}\right]+d\left[\begin{array}{c}
0 \\
4 \\
-1 \\
5
\end{array}\right]=a v_{1}+b v_{2}+c v_{3}+
$$

## Example

Ex. Find the dimension of the subspace

$$
H=\left\{\left[\begin{array}{c}
a-3 b+6 c \\
5 a+4 d \\
b-2 c-d \\
5 d
\end{array}\right]: a, b, c, d \in \mathbf{R}\right\}
$$

Sol: Each vector in $H$ can be written as a linear combination

$$
\left[\begin{array}{c}
a-3 b+6 c \\
5 a+4 d \\
b-2 c-d \\
5 d
\end{array}\right]=a\left[\begin{array}{l}
1 \\
5 \\
0 \\
0
\end{array}\right]+b\left[\begin{array}{c}
-3 \\
0 \\
1 \\
0
\end{array}\right]+c\left[\begin{array}{c}
6 \\
0 \\
-2 \\
0
\end{array}\right]+d\left[\begin{array}{c}
0 \\
4 \\
-1 \\
5
\end{array}\right]=a v_{1}+b v_{2}+c v_{3}+
$$

So $H=\operatorname{Span}\left\{v_{1}, v_{2}, v_{3}, v_{4}\right\}$.
By observation or by looking at the reduced echelon of the matrix $\left[\begin{array}{llll}v_{1} & v_{2} & v_{3} & v_{4}\end{array}\right]$, we see that $v_{1}, v_{2}, v_{4}$ form a basis for $H$.

## Example

Ex. Find the dimension of the subspace

$$
H=\left\{\left[\begin{array}{c}
a-3 b+6 c \\
5 a+4 d \\
b-2 c-d \\
5 d
\end{array}\right]: a, b, c, d \in \mathbf{R}\right\}
$$

Sol: Each vector in $H$ can be written as a linear combination

$$
\left[\begin{array}{c}
a-3 b+6 c \\
5 a+4 d \\
b-2 c-d \\
5 d
\end{array}\right]=a\left[\begin{array}{l}
1 \\
5 \\
0 \\
0
\end{array}\right]+b\left[\begin{array}{c}
-3 \\
0 \\
1 \\
0
\end{array}\right]+c\left[\begin{array}{c}
6 \\
0 \\
-2 \\
0
\end{array}\right]+d\left[\begin{array}{c}
0 \\
4 \\
-1 \\
5
\end{array}\right]=a v_{1}+b v_{2}+c v_{3}+
$$

So $H=\operatorname{Span}\left\{v_{1}, v_{2}, v_{3}, v_{4}\right\}$.
By observation or by looking at the reduced echelon of the matrix $\left[\begin{array}{llll}v_{1} & v_{2} & v_{3} & v_{4}\end{array}\right]$, we see that $v_{1}, v_{2}, v_{4}$ form a basis for $H$.
So $\operatorname{dim} H=3$.

## Subspaces of a finite-dimensional space

hm11. Let $H$ be a subspace of a finite-dimensional vector space $V$. Any linearly independent set in $H$ can be expanded (if necessary) to a basis of $H$. Also $H$ is finite-dimensional and $\operatorname{dimH} \leq \operatorname{dim} V$.

## Subspaces of a finite-dimensional space

hm11. Let $H$ be a subspace of a finite-dimensional vector space $V$. Any linearly independent set in $H$ can be expanded (if necessary) to a basis of $H$. Also $H$ is finite-dimensional and $\operatorname{dim} H \leq \operatorname{dim} V$.
Pf. Let $S=\left\{v_{1}, v_{2}, \ldots, v_{k}\right\}$ be a linearly independent set in $H$.

## Subspaces of a finite-dimensional space

hm11. Let $H$ be a subspace of a finite-dimensional vector space $V$. Any linearly independent set in $H$ can be expanded (if necessary) to a basis of $H$. Also $H$ is finite-dimensional and $\operatorname{dim} H \leq \operatorname{dim} V$.
Pf. Let $S=\left\{v_{1}, v_{2}, \ldots, v_{k}\right\}$ be a linearly independent set in $H$.

- If $S$ spans $H$, then $S$ is a basis for $H$.


## Subspaces of a finite-dimensional space

hm11. Let $H$ be a subspace of a finite-dimensional vector space $V$. Any linearly independent set in $H$ can be expanded (if necessary) to a basis of $H$. Also $H$ is finite-dimensional and $\operatorname{dim} H \leq \operatorname{dim} V$.
Pf. Let $S=\left\{v_{1}, v_{2}, \ldots, v_{k}\right\}$ be a linearly independent set in $H$.

- If $S$ spans $H$, then $S$ is a basis for $H$.
- Otherwise, there is some $v_{k+1}$ in $H$ that is not in Span S. But then $\left\{v_{1}, v_{2}, \ldots, v_{k}, v_{k+1}\right\}$ is linearly independent, because no vector in the set can be a linear combination of vectors that precede it.


## Subspaces of a finite-dimensional space

hm11. Let $H$ be a subspace of a finite-dimensional vector space $V$. Any linearly independent set in $H$ can be expanded (if necessary) to a basis of $H$. Also $H$ is finite-dimensional and $\operatorname{dim} H \leq \operatorname{dim} V$.
Pf. Let $S=\left\{v_{1}, v_{2}, \ldots, v_{k}\right\}$ be a linearly independent set in $H$.

- If $S$ spans $H$, then $S$ is a basis for $H$.
- Otherwise, there is some $v_{k+1}$ in $H$ that is not in Span S. But then $\left\{v_{1}, v_{2}, \ldots, v_{k}, v_{k+1}\right\}$ is linearly independent, because no vector in the set can be a linear combination of vectors that precede it.
- We can continue the process of expanding $S$ to a larger linearly independent set in $H$.


## Subspaces of a finite-dimensional space

hm11. Let $H$ be a subspace of a finite-dimensional vector space $V$. Any linearly independent set in $H$ can be expanded (if necessary) to a basis of $H$. Also $H$ is finite-dimensional and $\operatorname{dim} H \leq \operatorname{dim} V$.
Pf. Let $S=\left\{v_{1}, v_{2}, \ldots, v_{k}\right\}$ be a linearly independent set in $H$.

- If $S$ spans $H$, then $S$ is a basis for $H$.
- Otherwise, there is some $v_{k+1}$ in $H$ that is not in Span S. But then $\left\{v_{1}, v_{2}, \ldots, v_{k}, v_{k+1}\right\}$ is linearly independent, because no vector in the set can be a linear combination of vectors that precede it.
- We can continue the process of expanding $S$ to a larger linearly independent set in $H$.
- As the number of elements in $S$ cannot the dimension of $V$, the process will stop, that is, at some stage, $S$ will span $H$, and we obtain a basis.


## Subspaces of a finite-dimensional space

hm11. Let $H$ be a subspace of a finite-dimensional vector space $V$. Any linearly independent set in $H$ can be expanded (if necessary) to a basis of $H$. Also $H$ is finite-dimensional and $\operatorname{dim} H \leq \operatorname{dim} V$.
Pf. Let $S=\left\{v_{1}, v_{2}, \ldots, v_{k}\right\}$ be a linearly independent set in $H$.

- If $S$ spans $H$, then $S$ is a basis for $H$.
- Otherwise, there is some $v_{k+1}$ in $H$ that is not in Span S. But then $\left\{v_{1}, v_{2}, \ldots, v_{k}, v_{k+1}\right\}$ is linearly independent, because no vector in the set can be a linear combination of vectors that precede it.
- We can continue the process of expanding $S$ to a larger linearly independent set in $H$.
- As the number of elements in $S$ cannot never exceed the dimension of $V$, the process will stop, that is, at some stage, $S$ will span $H$, and we obtain a basis.
- $\operatorname{dim} H \leq \operatorname{dim} V$ follows as a corollary.


## The Basis Theorem

Thm. Let $V$ be a $p$-dimensional vector space, $p \geq 1$.

The Basis Theorem

Thm. Let $V$ be a $p$-dimensional vector space, $p \geq 1$.
(1) Any linearly independent set of exactly $p$ elements in $V$ is automatically a basis for $V$.


## The Basis Theorem

Thm. Let $V$ be a $p$-dimensional vector space, $p \geq 1$.
(1) Any linearly independent set of exactly $p$ elements in $V$ is automatically a basis for $V$.
(2) Any set of exactly $p$ elements that span $V$ is automatically a basis for $V$.

## The Basis Theorem

Thm. Let $V$ be a $p$-dimensional vector space, $p \geq 1$.
(1) Any linearly independent set of exactly $p$ elements in $V$ is automatically a basis for $V$.
(2) Any set of exactly $p$ elements that span $V$ is automatically a basis for $V$.

Pf. Let $S$ be a set of linearly independent set of $p$ elements. Then by Theorem 11, $S$ can be extended to a basis, which contains $p$ elements. So $S$ itself must be a basis.

## The Basis Theorem

Thm. Let $V$ be a $p$-dimensional vector space, $p \geq 1$.
(1) Any linearly independent set of exactly $p$ elements in $V$ is automatically a basis for $V$.
(2) Any set of exactly $p$ elements that span $V$ is automatically a basis for $V$.

Pf. Let $S$ be a set of linearly independent set of $p$ elements. Then by Theorem 11, $S$ can be extended to a basis, which contains $p$ elements. So $S$ itself must be a basis.

- Now suppose $S$ has $p$ elements and span $V$. Then by the Spanning Set Theorem, $S$ contains a basis. But a basis contains $p$ elements, so $S$ must be a basis.


## Dimension of Nul A and $\operatorname{Col} A$

Thm The dimension of $\mathrm{Nul} A$ is the number of free variables in the equation $A x=0$, and the dimension of $\operatorname{Col} A$ is the number of pivot columns in $A$.

## Dimension of Nul A and Col A

Thm The dimension of $N u l A$ is the number of free variables in the equation $A x=0$, and the dimension of $\operatorname{Col} A$ is the number of pivot columns in $A$.

Pf. Since the pivot columns of $A$ form a basis, the dimension of $\operatorname{Col} A$ is the number of the pivot columns in $A$.

## Dimension of Nul A and $\operatorname{Col} A$

Thm The dimension of $\mathrm{Nul} A$ is the number of free variables in the equation $A x=0$, and the dimension of $\operatorname{Col} A$ is the number of pivot columns in $A$.

Pf. Since the pivot columns of $A$ form a basis, the dimension of $\operatorname{Col} A$ is the number of the pivot columns in $A$.

To see the dimension of $\mathrm{Nul} A$, we suppose that $A x=0$ has $k$ free variables. Then each solution to $A x=0$ can be expression a linear combination of $k$ independent vectors, one for each free variable. So the $k$ vectors form a basis for $N u l$.

## Example

Ex. Find the dimension of the null space and column space of

$$
A=\left[\begin{array}{ccccc}
-3 & 6 & -1 & 1 & -7 \\
1 & -2 & 2 & 3 & -1 \\
2 & -4 & 5 & 8 & -4
\end{array}\right]
$$

## Example

Ex. Find the dimension of the null space and column space of

$$
A=\left[\begin{array}{ccccc}
-3 & 6 & -1 & 1 & -7 \\
1 & -2 & 2 & 3 & -1 \\
2 & -4 & 5 & 8 & -4
\end{array}\right]
$$

Sol. Row reduce the augmented matrix $[A 0]$ to echelon form:

$$
\begin{aligned}
& \operatorname{dim}(\operatorname{col}(A))=2 \\
& \operatorname{dim}(\operatorname{Nul}(t))=3
\end{aligned}
$$

## Example

Ex. Find the dimension of the null space and column space of

$$
A=\left[\begin{array}{ccccc}
-3 & 6 & -1 & 1 & -7 \\
1 & -2 & 2 & 3 & -1 \\
2 & -4 & 5 & 8 & -4
\end{array}\right]
$$

Sol. Row reduce the augmented matrix $\left[\begin{array}{ll}A & 0\end{array}\right]$ to echelon form:

$$
\left[\begin{array}{cccccc}
1 & -2 & 2 & 3 & -1 & 0 \\
0 & 0 & 1 & 2 & -2 & 0 \\
0 & 0 & 0 & 0 & 0 & 0
\end{array}\right]
$$

Then there are three free variables, so $\operatorname{dim} \operatorname{Nul} A=3$.

## Example

Ex. Find the dimension of the null space and column space of

$$
A=\left[\begin{array}{ccccc}
-3 & 6 & -1 & 1 & -7 \\
1 & -2 & 2 & 3 & -1 \\
2 & -4 & 5 & 8 & -4
\end{array}\right]
$$

Sol. Row reduce the augmented matrix $\left[\begin{array}{ll}A & 0\end{array}\right]$ to echelon form:

$$
\left[\begin{array}{cccccc}
1 & -2 & 2 & 3 & -1 & 0 \\
0 & 0 & 1 & 2 & -2 & 0 \\
0 & 0 & 0 & 0 & 0 & 0
\end{array}\right]
$$

Then there are three free variables, so $\operatorname{dim} \operatorname{Nul} A=3$.

There are two pivot columns, so $\operatorname{dim} \operatorname{Col} A=2$.

## Rank and Rank Theorem

- The rank of a matrix $A$ is the dimension of the column space of $A$.


## Rank and Rank Theorem

- The rank of a matrix $A$ is the dimension of the column space of $A$.
- Theorem: Let $A$ be an $m \times n$ matrix. Then $\operatorname{rank} A+\operatorname{dim} N u l A=n$.


## Rank and Rank Theorem

- The rank of a matrix $A$ is the dimension of the column space of $A$.
- Theorem: Let $A$ be an $m \times n$ matrix. Then rank $A+\operatorname{dim} N u l A=n$.
- It seems from the statement that rank of $A$ is more than just the dimension of column space of $A \ldots$


## Rank and Rank Theorem

- The rank of a matrix $A$ is the dimension of the column space of $A$.
- Theorem: Let $A$ be an $m \times n$ matrix. Then rank $A+\operatorname{dim} N u l A=n$.
- It seems from the statement that rank of $A$ is more than just the dimension of column space of $A \ldots$
- It is indeed true....


## Row Space

- Let $A$ be an $m \times n$ matrix. The set of linear combinations of the row vectors is called the row space of $A$ and is denoted by Row $A$.


## Row Space

- Let $A$ be an $m \times n$ matrix. The set of linear combinations of the row vectors is called the row space of $A$ and is denoted by Row $A$.
- Each row has $n$ entries, so Row $A$ is a subspace of $\mathbf{R}^{n}$.


## Row Space

- Let $A$ be an $m \times n$ matrix. The set of linear combinations of the row vectors is called the row space of $A$ and is denoted by Row $A$.
- Each row has $n$ entries, so Row $A$ is a subspace of $\mathbf{R}^{n}$.
- Since the rows of $A$ are the columns of $A^{T}$, we could also write $\operatorname{Col} A^{T}$ in place of Row $A$.


## Row Space

- Let $A$ be an $m \times n$ matrix. The set of linear combinations of the row vectors is called the row space of $A$ and is denoted by Row $A$.
- Each row has $n$ entries, so Row $A$ is a subspace of $\mathbf{R}^{n}$.
- Since the rows of $A$ are the columns of $A^{T}$, we could also write $\operatorname{Col} A^{T}$ in place of Row $A$.
- One way to study Row $A$ is to study $\mathrm{Col} A^{T}$. But there are more directed ways to do it!
- Theorem: If two matrices $A$ and $B$ are row equivalent, then their row spaces are the same. If $B$ is in echelon form, the nonzero rows of $B$ form a basis for the row space of $A$ as well as for that of $B$.
- Theorem: If two matrices $A$ and $B$ are row equivalent, then their row spaces are the same. If $B$ is in echelon form, the nonzero rows of $B$ form a basis for the row space of $A$ as well as for that of $B$.

Pf. If $B$ is obtained from $A$ by row operations, the rows of $B$ are linear combinations of the rows of $A$.

- Theorem: If two matrices $A$ and $B$ are row equivalent, then their row spaces are the same. If $B$ is in echelon form, the nonzero rows of $B$ form a basis for the row space of $A$ as well as for that of $B$.

Pf. If $B$ is obtained from $A$ by row operations, the rows of $B$ are linear combinations of the rows of $A$.

It follows that any linear combinations of rows of $B$ are automatically linear combinations of rows of $A$.

- Theorem: If two matrices $A$ and $B$ are row equivalent, then their row spaces are the same. If $B$ is in echelon form, the nonzero rows of $B$ form a basis for the row space of $A$ as well as for that of $B$.

Pf. If $B$ is obtained from $A$ by row operations, the rows of $B$ are linear combinations of the rows of $A$.

It follows that any linear combinations of rows of $B$ are automatically linear combinations of rows of $A$.

Thus the row space of $B$ is contained in the row space of $A$.

- Theorem: If two matrices $A$ and $B$ are row equivalent, then their row spaces are the same. If $B$ is in echelon form, the nonzero rows of $B$ form a basis for the row space of $A$ as well as for that of $B$.

Pf. If $B$ is obtained from $A$ by row operations, the rows of $B$ are linear combinations of the rows of $A$.

It follows that any linear combinations of rows of $B$ are automatically linear combinations of rows of $A$.

Thus the row space of $B$ is contained in the row space of $A$.
On the other hand, the row operations are reversible, so the same argument shows that the row space of $A$ is contained in the row space of $B$. So the two row spaces are the same.

- Theorem: If two matrices $A$ and $B$ are row equivalent, then their row spaces are the same. If $B$ is in echelon form, the nonzero rows of $B$ form a basis for the row space of $A$ as well as for that of $B$.

Pf. If $B$ is obtained from $A$ by row operations, the rows of $B$ are linear combinations of the rows of $A$.

It follows that any linear combinations of rows of $B$ are automatically linear combinations of rows of $A$.
Thus the row space of $B$ is contained in the row space of $A$.
On the other hand, the row operations are reversible, so the same argument shows that the row space of $A$ is contained in the row space of $B$. So the two row spaces are the same.

- If $B$ is in echelon form, then the nonzero rows are linearly independent, because no nonzero row is a linearly combinations of the nonzero row below it.
- Theorem: If two matrices $A$ and $B$ are row equivalent, then their row spaces are the same. If $B$ is in echelon form, the nonzero rows of $B$ form a basis for the row space of $A$ as well as for that of $B$.

Pf. If $B$ is obtained from $A$ by row operations, the rows of $B$ are linear combinations of the rows of $A$.

It follows that any linear combinations of rows of $B$ are automatically linear combinations of rows of $A$.

Thus the row space of $B$ is contained in the row space of $A$.
On the other hand, the row operations are reversible, so the same argument shows that the row space of $A$ is contained in the row space of $B$. So the two row spaces are the same.

- If $B$ is in echelon form, then the nonzero rows are linearly independent, because no nonzero row is a linearly combinations of the nonzero row below it.

Thus the nonzero rows of $B$ form a basis of the row space of $B$ and $A$.

## Example

Ex. Find bases for the row space, column space, and the null space of the matrix

$$
A=\left[\begin{array}{ccccc}
-2 & -5 & 8 & 0 & -17 \\
1 & 3 & -5 & 1 & 5 \\
3 & 11 & -19 & 7 & 1 \\
1 & 7 & -13 & 5 & -3
\end{array}\right]
$$

## Example

Ex. Find bases for the row space, column space, and the null space of the matrix

$$
A=\left[\begin{array}{ccccc}
-2 & -5 & 8 & 0 & -17 \\
1 & 3 & -5 & 1 & 5 \\
3 & 11 & -19 & 7 & 1 \\
1 & 7 & -13 & 5 & -3
\end{array}\right]
$$

Sol. We first row reduce $A$ to $B$ (echelon form):

$$
A=\left[\begin{array}{ccccc}
-2 & -5 & 8 & 0 & -17 \\
1 & 3 & -5 & 1 & 5 \\
3 & 11 & -19 & 7 & 1 \\
1 & 7 & -13 & 5 & -3
\end{array}\right] \rightarrow\left[\begin{array}{ccccc}
1 & 3 & -5 & 1 & 5 \\
0 & (1) & -2 & 2 & -7 \\
0 & 0 & 0 & (-4 & 20 \\
0 & 0 & 0 & 0 & 0
\end{array}\right] \stackrel{\uparrow}{\leftarrow}
$$

## Example

Ex. Find bases for the row space, column space, and the null space of the matrix

$$
A=\left[\begin{array}{ccccc}
-2 & -5 & 8 & 0 & -17 \\
1 & 3 & -5 & 1 & 5 \\
3 & 11 & -19 & 7 & 1 \\
1 & 7 & -13 & 5 & -3
\end{array}\right]
$$

Sol. We first row reduce $A$ to $B$ (echelon form):

$$
A=\left[\begin{array}{ccccc}
-2 & -5 & 8 & 0 & -17 \\
1 & 3 & -5 & 1 & 5 \\
3 & 11 & -19 & 7 & 1 \\
1 & 7 & -13 & 5 & -3
\end{array}\right] \rightarrow\left[\begin{array}{ccccc}
1 & 3 & -5 & 1 & 5 \\
0 & 1 & -2 & 2 & -7 \\
0 & 0 & 0 & -4 & 20 \\
0 & 0 & 0 & 0 & 0
\end{array}\right]=B
$$

- By the theorem, the basis for row space of $A$ is the first three rows of $B:\{(1,3,-5,1,5),(0,1,-2,2,-7),(0,0,0,-4,20)\}$.
- The pivot columns of $B$ (thus $A$ ) are the the first, second and fourth columns. So a basis for column space of $A$ is the first, second, and fourth columns of $A$ :

$$
\left\{(-2,-5,8,0,-17)^{T},(1,3,-5,1,5)^{T},(1,7,-13,5,-3)^{T}\right\}
$$

- The pivot columns of $B$ (thus $A$ ) are the the first, second and fourth columns. So a basis for column space of $A$ is the first, second, and fourth columns of $A$ :

$$
\left\{(-2,-5,8,0,-17)^{T},(1,3,-5,1,5)^{T},(1,7,-13,5,-3)^{T}\right\}
$$

- To find a basis for null space of $A$, we write the solution set of $A x=0$ in terms of free variables ( $x_{3}$ and $x_{5}$ ):

$$
x_{1}=-x_{3}-x_{5}, x_{2}=2 x_{3}-3 x_{5}, x_{4}=5 x_{3} .
$$

$$
x=\left[\begin{array}{c}
x_{1} \\
x_{2} \\
x_{3} \\
x_{4} \\
x_{5}
\end{array}\right]=\left[\begin{array}{c}
-x_{3}-x_{5} \\
2 x_{3}-3 x_{5} \\
x_{3} \\
5 x_{3} \\
x_{5}
\end{array}\right]=\left[\begin{array}{c}
-x_{3} \\
2 x_{3} \\
x_{3} \\
5 x_{3} \\
0
\end{array}\right]+\left[\begin{array}{c}
-x_{5} \\
-3 x_{5} \\
0 \\
0 \\
x_{5}
\end{array}\right]=x_{3}\left[\begin{array}{c}
-1 \\
2 \\
1 \\
5 \\
0
\end{array}\right]+x_{1}\left(\begin{array}{c}
-1 \\
-3 \\
0 \\
0 \\
1
\end{array}\right]
$$

- The pivot columns of $B$ (thus $A$ ) are the the first, second and fourth columns. So a basis for column space of $A$ is the first, second, and fourth columns of $A$ :

$$
\left\{(-2,-5,8,0,-17)^{T},(1,3,-5,1,5)^{T},(1,7,-13,5,-3)^{T}\right\}
$$

- To find a basis for null space of $A$, we write the solution set of $A x=0$ in terms of free variables ( $x_{3}$ and $x_{5}$ ): $x_{1}=-x_{3}-x_{5}, x_{2}=2 x_{3}-3 x_{5}, x_{4}=5 x_{3}$.
- So in terms of vectors, we have

$$
x=x_{3}(-1,2,1,0,0)^{T}+x_{5}(-1,-3,0,5,1)^{T} .
$$

- The pivot columns of $B$ (thus $A$ ) are the the first, second and fourth columns. So a basis for column space of $A$ is the first, second, and fourth columns of $A$ :

$$
\left\{(-2,-5,8,0,-17)^{T},(1,3,-5,1,5)^{T},(1,7,-13,5,-3)^{T}\right\}
$$

- To find a basis for null space of $A$, we write the solution set of $A x=0$ in terms of free variables ( $x_{3}$ and $x_{5}$ ): $x_{1}=-x_{3}-x_{5}, x_{2}=2 x_{3}-3 x_{5}, x_{4}=5 x_{3}$.
- So in terms of vectors, we have

$$
x=x_{3}(-1,2,1,0,0)^{T}+x_{5}(-1,-3,0,5,1)^{T} .
$$

- Therefore a basis for Nu A is $\left\{(-1,2,1,0,0)^{T},(-1,-3,0,5,1)^{T}\right\}$.


## The Rank Theorem

- The Rank Theorem: The dimensions of the column space and the row space of an $m \times n$ matrix $A$ are equal. Furthermore, rank $A+\operatorname{dim} N u l A=n$.


## The Rank Theorem

- The Rank Theorem: The dimensions of the column space and the row space of an $m \times n$ matrix $A$ are equal. Furthermore, rank $A+\operatorname{dim} N u l A=n$.

Pf. We have showed the dimension of $\operatorname{Col} A$ (thus the rank of $A$ ) is the number of pivot columns in $A$.

## The Rank Theorem

- The Rank Theorem: The dimensions of the column space and the row space of an $m \times n$ matrix $A$ are equal. Furthermore, rank $A+\operatorname{dim} N u l A=n$.

Pf. We have showed the dimension of $\operatorname{Col} A$ (thus the rank of $A$ ) is the number of pivot columns in $A$.

- So the rank of the $A$ is also the number of pivot positions in $A$, and also the number of pivot positions in an echelon form $B$ of $A$.


## The Rank Theorem

- The Rank Theorem: The dimensions of the column space and the row space of an $m \times n$ matrix $A$ are equal. Furthermore, rank $A+\operatorname{dim} N u l A=n$.

Pf. We have showed the dimension of $\operatorname{Col} A$ (thus the rank of $A$ ) is the number of pivot columns in $A$.

- So the rank of the $A$ is also the number of pivot positions in $A$, and also the number of pivot positions in an echelon form $B$ of $A$.
- Furthermore, $B$ has a nonzero row for each pivot. And these nonzero rows form a basis for row space of $B$ (thus $A$ ).


## The Rank Theorem

- The Rank Theorem: The dimensions of the column space and the row space of an $m \times n$ matrix $A$ are equal. Furthermore, rank $A+\operatorname{dim} N u l A=n$.

Pf. We have showed the dimension of $\operatorname{Col} A$ (thus the rank of $A$ ) is the number of pivot columns in $A$.

- So the rank of the $A$ is also the number of pivot positions in $A$, and also the number of pivot positions in an echelon form $B$ of $A$.
- Furthermore, $B$ has a nonzero row for each pivot. And these nonzero rows form a basis for row space of $B$ (thus $A$ ).
- Thus the dimension of row space of $A$ also equals the number of pivots in $A$, which equals the rank of $A$.


## The Rank Theorem

- The Rank Theorem: The dimensions of the column space and the row space of an $m \times n$ matrix $A$ are equal. Furthermore, rank $A+\operatorname{dim} N u l A=n$.

Pf. We have showed the dimension of $\operatorname{Col} A$ (thus the rank of $A$ ) is the number of pivot columns in $A$.

- So the rank of the $A$ is also the number of pivot positions in $A$, and also the number of pivot positions in an echelon form $B$ of $A$.
- Furthermore, $B$ has a nonzero row for each pivot. And these nonzero rows form a basis for row space of $B$ (thus $A$ ).
- Thus the dimension of row space of $A$ also equals the number of pivots in $A$, which equals the rank of $A$.
- The second part follows from rank $A=\operatorname{dim} \operatorname{Row} A=\operatorname{dim} \operatorname{Col} A$ and rank $A+\operatorname{dim} N u l A=n$.


## Example

Ex. (a) If $A$ is a $7 \times 9$ matrix with a two-dimensional null space, what is the rank of $A$ ?
(b) Could a $6 \times 9$ matrix have a two-dimensional null space?

## Example

Ex. (a) If $A$ is a $7 \times 9$ matrix with a two-dimensional null space, what is the rank of $A$ ?
(b) Could a $6 \times 9$ matrix have a two-dimensional null space?

Sol. (a) the rank of $A$ is $9-2=7$.

## Example

Ex. (a) If $A$ is a $7 \times 9$ matrix with a two-dimensional null space, what is the rank of $A$ ?
(b) Could a $6 \times 9$ matrix have a two-dimensional null space?

Sol. (a) the rank of $A$ is $9-2=7$.
(b) A $6 \times 9$ matrix cannot have a two-dimensional null space, for otherwise, the rank of $A$ is $9-2=7$, which equals the dimension of column space, but the column space is a subspace of $\mathbf{R}^{6}$.

## Rank and the Invertible Matrix Theorem

Thm. (Invertible Matrix Theorem (continued)) let $A$ be an $n \times n$ matrix. Then the following statements are each equivalent tot he statement that $A$ is an invertible matrix.

## Rank and the Invertible Matrix Theorem

Thm. (Invertible Matrix Theorem (continued)) let $A$ be an $n \times n$ matrix. Then the following statements are each equivalent tot he statement that $A$ is an invertible matrix.
(1) the columns of $A$ form a basis of $\mathbf{R}^{n}$.

## Rank and the Invertible Matrix Theorem

Thm. (Invertible Matrix Theorem (continued)) let $A$ be an $n \times n$ matrix. Then the following statements are each equivalent tot he statement that $A$ is an invertible matrix.
(1) the columns of $A$ form a basis of $\mathbf{R}^{n}$.
(2) Col $A=\mathbf{R}^{n}$

## Rank and the Invertible Matrix Theorem

Thm. (Invertible Matrix Theorem (continued)) let $A$ be an $n \times n$ matrix. Then the following statements are each equivalent tot he statement that $A$ is an invertible matrix.
(1) the columns of $A$ form a basis of $\mathbf{R}^{n}$.
(2) Col $A=\mathbf{R}^{n}$
(3) $\operatorname{dim} \operatorname{Col} A=n$.

## Rank and the Invertible Matrix Theorem

Thm. (Invertible Matrix Theorem (continued)) let $A$ be an $n \times n$ matrix. Then the following statements are each equivalent tot he statement that $A$ is an invertible matrix.
(1) the columns of $A$ form a basis of $\mathbf{R}^{n}$.
(2) Col $A=\mathbf{R}^{n}$
(3) $\operatorname{dim} \operatorname{Col} A=n$.
(9) rank $A=n$

## Rank and the Invertible Matrix Theorem

Thm. (Invertible Matrix Theorem (continued)) let $A$ be an $n \times n$ matrix. Then the following statements are each equivalent tot he statement that $A$ is an invertible matrix.
(1) the columns of $A$ form a basis of $\mathbf{R}^{n}$.
(2) Col $A=\mathbf{R}^{n}$
(3) $\operatorname{dim} \operatorname{Col} A=n$.
(9) $\operatorname{rank} A=n$
(3) $N u l A=\{0\}$.

## Rank and the Invertible Matrix Theorem

Thm. (Invertible Matrix Theorem (continued)) let $A$ be an $n \times n$ matrix. Then the following statements are each equivalent tot he statement that $A$ is an invertible matrix.
(1) the columns of $A$ form a basis of $\mathbf{R}^{n}$.
(2) Col $A=\mathbf{R}^{n}$
(3) $\operatorname{dim} \operatorname{Col} A=n$.
(9) $\operatorname{rank} A=n$
(5) Nul $A=\{0\}$.
(0) $\operatorname{dim} N u l A=0$.

