

Section 1.2 Row Reduction and Echelon Forms

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Echelon Form

- A rectangular matrix is in **echelon form** (or row echelon form) if it has the following three properties:

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 - ▶ Each leading entry of a row is in a column to the right of the leading entry of the row above it.
 - ▶ All entries in a column below a leading entry are zeros.

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- An **echelon matrix** (respectively, **reduced echelon matrix**) is one that is in echelon form (respectively, reduced echelon form.)

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- However, the reduced echelon form one obtains from a matrix is unique.

Theorem (Uniqueness of the Reduced Echelon Form) Each matrix is row equivalent to one and only one reduced echelon matrix.

- If a matrix A is row equivalent to an echelon matrix U , we call U an **echelon form (or row echelon form) of A** ; if U is in reduced echelon form, we call U the **reduced echelon form of A** .

Pivot Position

- If a matrix A is row equivalent to an echelon matrix U , we call U an **echelon form (or row echelon form)** of A ; if U is in reduced echelon form, we call U the **reduced echelon form of A** .
- A **pivot position** in a matrix A is a location in A that corresponds to a leading 1 in the reduced echelon form of A . A **pivot column** is a column of A that contains a pivot position.

$$\begin{pmatrix} 1 & 0 & 2 & 0 & 1/3 \\ 0 & 1 & 2 & 0 & 0 \\ 0 & 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

- Example: Row reduce the matrix A below to echelon form, and locate the pivot positions and pivot columns of A .

$$\begin{pmatrix} 0 & -3 & -6 & 4 & 9 \\ -1 & -2 & -1 & 3 & 1 \\ -2 & -3 & 0 & 3 & -1 \\ 1 & 4 & 5 & -9 & -7 \end{pmatrix}$$

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- The pivot columns are C_1 , C_2 , C_4 , and the pivot positions are positions $(1,1)$, $(2, 2)$, and $(3, 4)$.

Row Reduction Algorithm

- Example: Apply elementary row operations to transform the following matrix first into echelon form and then into reduced echelon form.

$$\begin{pmatrix} 0 & 3 & -6 & 6 & 4 & -5 \\ 3 & -7 & 8 & -5 & 8 & 9 \\ 3 & -9 & 12 & -9 & 6 & 15 \end{pmatrix}$$

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- STEP 1: Begin with the leftmost nonzero column. This is a pivot column. The pivot position is at the top.
- STEP 2: Select a nonzero entry in the pivot column as a pivot. If necessary, interchange rows to move this entry into the pivot position. (interchange R1 and R3)
- STEP 3: Use row replacement operations to create zeros in all positions below the pivot.

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- The combination of steps 1–4 is called the **forward phase** of the row reduction algorithm. Step 5, which produces the unique reduced echelon form, is called the **backward phase**.

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- There are 3 variables because the augmented matrix has four columns.
- The variables x_1 and x_2 corresponding to pivot columns in the matrix are called **basic variables**. The other variable, x_3 , is called a **free variable**.

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- In the above example, solve the first and second equations for x_1 and x_2 , we have $x_1 = 1 + 5x_3$, $x_2 = 4 - x_3$ and x_3 is free.
- Each different choice of x_3 determines a (different) solution of the system, and every solution of the system is determined by a choice of x_3 .

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- Whenever a system is consistent and has free variables, the solution set has many parametric descriptions.

For example, in the above problem, we may take x_2 as free variables, and write x_1 and x_3 in terms of x_2 :

$$x_1 = 21 - 5x_2, x_3 = 4 - x_2 \text{ and } x_2 \text{ is free.}$$

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- When a system is inconsistent, the solution set is empty, even when the system has free variables. In this case, the solution set has no parametric representation.

Existence and Uniqueness Theorem

- **Theorem (Existence and Uniqueness Theorem)** A linear system is consistent **if and only if** the rightmost column of the augmented matrix is not a pivot column, i.e., if and only if an echelon form of the augmented matrix has no row of the form $[0 \dots 0b]$ with b nonzero.

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- If a linear system is consistent, then the solution set contains either (i) a unique solution, when there are no free variables, or (ii) infinitely many solutions, when there is at least one free variable.

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- 3 Continue row reduction to obtain the reduced echelon form.

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- 3 Continue row reduction to obtain the reduced echelon form.
- 4 Write the system of equations corresponding to the matrix obtained in step 3.
- 5 Rewrite each nonzero equation from step 4 so that its one basic variable is expressed in terms of any free variables appearing in the equation.

Using Row Reduction to Solve a Linear System—Example

Ex: find the general solution of the system

$$x_1 - 2x_2 - x_3 + 3x_4 = 0$$

$$-2x_1 + 4x_2 + 5x_3 - 5x_4 = 3$$

$$3x_1 - 6x_2 - 6x_3 + 8x_4 = 2$$