

Math 432 Lec 04 Recurrence Relations

Let a_n count an object formed from n elements. Like in induction, we sometimes can build a relation $a_n = f(a_{n-1}, a_{n-2}, \dots, a_{n-k})$ between a_n and a_{n-1}, \dots, a_{n-k} . This is called a recurrence relation.

- (1) Let $W(n)$ be the number of simple words formed from n different letters. We build a relation between $W(n)$ and $W(n-1)$.

Claim: $W(n) = 1 + n \cdot W(n-1)$ and $W(0) = 1$. The condition $W(0) = 1$ is because of the empty word. In general, to form a word of from n letters, we choose one letter to go first (in n ways), and make a word from the remaining $n-1$ letters (in $W(n-1)$ ways) to follow it; but we have missed out one word, namely the empty word, so we need to add 1.

- (2) (The Hanoi Tower Problem) n rings on a peg must be moved to another peg, with a third peg available as workspace. No ring can ever be placed on a smaller ring. Let a_n be the minimum number of steps required to move the pile. $a_n = 2a_{n-1} + 1$ and $a_1 = 1$.

- (3) Example: How many sequences of length n are there consisting of zeros and ones with no two consecutive ones? (Call such a sequence admissible.)

Answer: $b_n = b_{n-1} + b_{n-2}$ with $b_0 = 1, b_1 = 2$. We just need to consider the last digit and divide it into two cases. This sequence is a variation of so-called *Fibonacci sequence*: $1, 1, 2, 3, 5, 8, 13, 21, \dots$ which satisfies $F_n = F_{n-1} + F_{n-2}$

- (4) A *permutation* of $[n]$ is a bijective function from the set $[n]$ to itself. A *derangement* is a permutation which leaves no point fixed. That is, if π is derangement, then $\pi(i) \neq i$ for any $i \in [n]$. How many derangements are there?

Note that every permutation can be expressed as disjoint cycles with the smallest number as the element in each cycle. For example $(124)(35)$ is the permutation $\begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 4 & 5 & 1 & 3 \end{pmatrix}$.

Answer: let $d(n)$ be the number of derangement of $[n]$. Then $d(n) = (n-1)d(n-1) + (n-1)d(n-2)$ for $n \geq 2$ (depending on whether n is in a 2-cycle or not).

- (5) *Catalan number* We want to calculate a product $x_1 \cdot x_2 \cdot \dots \cdot x_n x_{n+1}$. If we can only multiply two factors at a time, we have to put in brackets to make the expression well-defined. How many ways can we bracket such a product?

To form a bracketing, we have $n-1$ operations, and every number is in exactly one binary operation. We further assume that whenever possible, we will carry out the operations from left to right.

Suppose that a_1 is in the binary operation after $k-1$ numbers with $2 \leq k \leq n$. Then before the operation, we had $k-2$ operations (and $k-1$ elements) which have C_{k-1} ways to form it. After the operation, we have $n-k+1$ elements (remember we have a new one obtained from the operation of a_1), and need $n-k$ operations to go, which have C_{n-k+1} ways to form. So we have $C_{n+1} = \sum_{k=2}^n C_{k-1} C_{n-k+1}$, with $C_1 = C_2 = 1$. The number C_n is the n -th Catalan number.

Problem (k^5): Ways of connecting $2n - 2$ points labelled $1, 2, \dots, 2n2$ lying on a horizontal line by nonintersecting arcs above the line such that the left endpoint of each arc is odd and the right endpoint is even.